

Annexure I to GIL CSR Policy

List of CSR Activities for FY 2017-18

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Agreed by CSR Committee (On September 15, 2017)		Approved by the Board
Rajeev Anand	Sd/-	
C Dasgupta	Sd/-	Sd/- Rajeev Anand Chairman of Board

A1. References to Annexure I in GIL CSR Policy

The following sections in the GIL CSR Policy make a reference to Annexure – I

- (i) Section 4 on Validity
- (ii) Section 5 on Areas of Focus
- (iii) Section 6 on Budget
- (iv) Section 8 on Implementation
- (v) Section 9 on Monitoring and Evaluation Framework

A2. CSR Expenditure

For 2017-18, GIL's CSR budget calculated as per Section 135 and 198 of Companies Act 2013, stands at INR 3,61,73,000. *Of this, **INR 2,88,20,723** is being allocated towards the project on “**Driver Training Programme for Fleet Operators**” undertaken in partnership with Institute of Road Traffic Education (IRTE). Amount of **INR 8,76,984** will be allocated to India Vision Foundation towards its project on **education of the school - going children of the prison inmates, especially of Tihar and Faridabad prisons**. Another amount of **INR 1,13,41,007** will be allocated to International Association for Human Values towards its project on **sanitation, hygiene and safe drinking water**. Carry forward from last year (2016/17) amounts to INR 67,60,150. Administrative expenses for 2017-18 capped up to 5 per cent of the total CSR expenditure for 2017/18 and will be utilized towards overhead expenses of strengthening regulatory compliance and monitoring of the programme. The details of the same are given below:*

A3. Summary of CSR Activities for FY 2017-18

S.No.	Thematic Areas (Schedule VII)	Location	Activities/ Programs		Duration of the activity		Proposed Budget (INR Lakh)
			Full programme	Activities in 2017-18	Start date	End date	
1.	“promoting education, including special education and employment enhancing vocational skills especially among children, women, elderly, and the differently abled and livelihood enhancement projects”	Delhi NCR, Mumbai and Bengaluru	Provide training to 5,400 drivers of private cabs, passenger vehicles in Delhi NCR, Mumbai and Bengaluru in financial year 2017-18. Organize 10 workshops cum training on road safety for 200 driving licensing officers.	1. Establish tie-ups with fleet operators 2. Updating and print of training modules 4. Conducting workshops 5. Beneficiary engagement post training. 5. Submission of progress reports and final report	1 September, 2017	31 March 2018	288.21
2.	“promoting education, including special education and employment enhancing vocation skills	Delhi NCR	Provide education of the school - going children of the prison inmates	1. Provide for tuition fee, uniform and other education material	1 September, 2017	31 March 2018	8.77

	especially among children, women, elderly and the differently abled and livelihood enhancement projects”		especially of Tihar and Faridabad prisons	<ul style="list-style-type: none"> 2. Provide daily use items to the children including health insurance 3. Organize quarterly parents/teacher meets 4. Counseling of children 5. Birthday celebrations and exposure trips 6. Progress reports and impact study report 			
3.	“Eradicating hunger, poverty, malnutrition, promoting preventive healthcare and sanitation and making available safe drinking water”	Maharashtra	<p>Improve the sanitation and hygiene conditions in rural Maharashtra by providing toilets and safe drinking water facility to the needy and sensitizing the community with long term goal to make the villages Open Defecation Free (ODF).</p>	<ul style="list-style-type: none"> 1. Mobilization, capacity building and engagement of community 2. Needs assessment and baseline survey 3. Construction of toilets 4. Sensitization drive for ODF 5. Providing safe drinking water facilities 6. Progress reports and final report 	1 September, 2017	31 March 2018	113.41
Administrative Expenses (up to 5% of CSR expense)							18.94
Total CSR Budget							429.33

A4. Details of the CSR Activities

1. Institute Of Road Traffic Education (IRTE) - Goodyear: Safer Roads, Safer You Initiative	
Thematic area	“promoting education, including special education and employment enhancing vocational skills especially among children, women, elderly, and the differently abled and livelihood enhancement projects”
Detailed description	<p>The project “Goodyear-IRTE Driver Training Programme for Fleet Operators” aims to provide training to 5400 drivers of taxi cabs in Delhi NCR, Mumbai and Bangaluru in financial year 2017-18. The total cost of the project is INR 2,88,20,723. The project has been in existence since 2015-16.</p> <p>The provisional data provided by the Ministry of Road Transport & Highways, Government of India has revealed the disturbing trend of yet another increase of road deaths from 1,39,671 in 2014 to 1,46,133 persons killed in 2015. This translates to a social loss of over 2% of India’s GDP estimated at Rs. 1 Lakh Crore annually. In India, the highest number of fatalities, constituting 26.4% was those of two-wheeler riders. Passengers of light motor vehicles constituted 24.7% of the total fatalities, making this category the second highest in fatal road crashes in the country.</p> <p>Much of this has been attributed to inadequate investment in training and assessment of drivers of these vehicles due to following factors:</p> <ul style="list-style-type: none"> • Poor working conditions and low pay scales relative to alternate careers which does not attract well-qualified drivers • Majority of players in the road transport industry are small entrepreneurs with limited investment capability who are more focussed on getting immediate results on their top and bottom line <p>Moreover, while on one hand metro and tier-2 cities in India are experiencing growth of large organized taxi service providers, passengers, especially women, are increasingly feeling insecure to travel in these cabs after a number of rape/molestation/harassment incidents have been reported in last few years.</p> <p>In the previous years training was provided to 7422 drivers through 415 workshops in Delhi, Gurgaon, Faridabad, Noida and Mumbai. Safer Roads, Safer You Initiative was covered in majority of the newspapers and journals. Project created goodwill in the ministry and government.</p> <p>To engage with the beneficiaries post training, a reminder mechanism has been integrated into the project wherein one road safety tip is sent to drivers every fortnight through SMS.</p> <p>As part of the project, National Conference for Safer and Convenient Taxi Operations in India was organised. This Conference was conducted in association with the Ministry of Road Transport & Highways, where around 100 delegates participated. A short film was also developed to create awareness amongst taxi drivers. The video will be used for training purpose.</p> <p>Keeping the learning from previous years, the following steps have been taken</p>

	<ul style="list-style-type: none"> Looking at the demand of trainings the number of workshops has been increased to 270, with 5400 drivers involving small taxi operators. To create a long term impact on beneficiaries the reminder mechanism will be continued which engage beneficiaries over the period of time via SMS based driving tips. Video will be used in training drivers. Further emphasis on “women safety” will be continued in the training Bangaluru, the third most populous city and fifth most populous urban agglomeration with more than 1.3 lakhs taxi on roads, will be added as one of the locations covered under the project Workshop / conference / event to support long term capacity building of road safety in the country has been proposed with different stakeholders. Under this 10 workshops on a pilot basis will be organized for 200 driving licensing officers to sensitize and build their capacity on road safety <p>The aim of the project will be to:</p> <ol style="list-style-type: none"> Support the government towards the development of a safe, efficient and reliable public transport service, in order to build trust and faith in the common citizen using taxi services in the urban areas of India. to promote a safe and reliable driving culture amongst taxi drivers and thereby to improve road safety <p>The project is expected to start in September however various activities to assess the behavioral change in drivers will be carried on before that, the activities will (if not comprehensively) include testimonials from fleet operators on the change in driver behavior pre and post training, survey with driving supervisors of the fleet owners and inviting a set of willing drivers to assess retention of training content.</p>	
Location	Delhi NCR, Mumbai and Bangaluru	
Duration	Seven Months	
Total budget	Type of expenditure	Amount
	Direct Project cost	INR 2,77,00,000
	Indirect Project Cost (Consultant Fee)	INR 11,20,723
	Total expenditure	INR 2,88,20,723
Milestone payment	Installment	Amount
	Payment of first installment (September, 2017)	INR 46,46,217
	Payment of second installment after satisfactory submission of first utilization certificate (November, 2017)	INR 40,00,000
	Payment of third installment after satisfactory submission of first progress report (January,2018)	INR 1,15,28,289
	Payment of fourth installment after satisfactory submission of second progress report (February 2018)	INR 86,46,217

Expected outcomes	5400 drivers trained through 270 workshops. 200 driving licensing officers trained through 10 workshops		
Implementation schedule	Activity	Start & end time/ Schedule	
	Phase 1: Establish tie-ups with fleet operators- at least one in each city to cover 5400 drivers	1 September 2017- 15 October 2017	
	Phase 2: Revising training content for all 6 modules with the feedback received and design and print of training collaterals	1 September 2017- 15 October 2017	
	Phase 3: Completion of 270 workshops with taxi drivers and beneficiary engagement. Completion of 10 workshops with 200 driving licensing officers	15 October 2017- 15 March 2018	
	Phase 4: Submission of Final Report on programme impact	30 April 2018	
Monitoring methodology	For monitoring project progress, IRTE has its own management information system (MIS) to track centre-wise data on the enrolment and training of drivers. Monitoring by IRTE will take place on monthly basis on the outcomes identified for the activities listed above. The data is compiled and shared in the annual progress report along with photographic evidence of programme activities at the end of the financial year. Goodyear will make payments in a phased manner to mitigate risk of non-utilization of funds by IRTE based on achievement of project milestones		
Key performance indicators for monitoring progress	Indicator	Frequency of monitoring	Reporting responsibility
	Number of workshops conducted	2 months	IRTE
	Number of drivers and licensing officers trained	2 months	IRTE
	Number of drivers successfully passing the assessment	2 months	IRTE
	Number of drivers engaged through beneficiary engagement module	2 months	IRTE
Key performance indicators for assessing impact	Indicator	Timeline	Reporting responsibility
	Improvement in driver rating pre and post assessment- split by parameters (traffic rule adherence, hygiene, etiquette etc.)	April 30, 2018	IRTE
	Driver engagement through campaigns and targeting them through tips sent via SMSs	April 30, 2018	IRTE

	Interaction with fleet owners/ supervisors to understand the effect of training on the drivers and change in their behavioral patterns.	April 30, 2018	IRTE
Reporting schedule	IRTE will submit following: <ol style="list-style-type: none"> 1. Two progress reports on Monitoring KPIs (will be decided with IRTE) (15 December 2017 and 30 January 2018) 2. Phase-wise Fund Utilization Certificates on completion of milestone activities 3. Impact report capturing results from assessments at the end of reporting year 30 April 2018. 4. A Final Fund Utilization Certificate audited by a chartered accountant 		

2. India Vision Foundation – Children of Vulnerable Families		
Thematic area	“promoting education, including special education and employment enhancing vocational skills especially among children, women, elderly, and the differently abled and livelihood enhancement projects”	
Detailed description	<p>The project exclusively works for the education of children of prison inmates called “Children of Vulnerable Families”. It follows child rights based approach consisting of protection, participation and education towards the children of the prison inmates aiming for their holistic well-being.</p> <p>In 2008, a study by Commonwealth Human Rights Initiative (CHRI) on “Community Participation in Prisons a Civil Society Perspective” observed very few organizations work towards a policy for the children of prisoners. The report published by “Prayas”- Social Work in Criminal Justice (A Field Action Project of the Centre for Criminology & Justice, School of Social Work, Tata Institute of Social Sciences, Mumbai) in 2012 highlighted vulnerabilities the children left outside after separation from arrested mothers face including higher risk of subjected to abuse, kidnapping, getting into criminal activities, etc. The National Institute of Criminology and Forensic Sciences conducted a research study on children of women prisoners in Indian jails also emphasized the need of support and intervention required for such hidden victims in the society who would otherwise become social liabilities in later stage.</p> <p>Over the past 21 years India Vision Foundation (IVF) through the Project “Children of Vulnerable Families” has been trying to make effective interventions thus creating effective impact on the lives of children of incarcerated parents.</p> <p>IVF with support from Goodyear, aims to Promote holistic well-being of the thirteen girl children of prison inmates studying in Infant Jesus School, Children’s Village, Sanjoepuram.</p> <p>Objectives of the project are:</p> <ol style="list-style-type: none"> To support the basic/residential education of the thirteen girls. To work for the physical, psychological and social well-being of the girls. To work with parents, teachers, care takers in the hostel to help in capacity building. <p>The project is an ongoing project wherein Goodyear will be supporting education of 13 girl children for the year 2017-18. The girl children to be covered under the project have already been identified.</p>	
Location	Delhi NCR	
Duration	Seven Months	
Total budget	Type of expenditure	Amount
	Direct Project cost	INR 8,48,714
	Indirect Project Cost (Consultant Fee)	INR 28,270
	Total expenditure	INR 8,76,984

Milestone payment	Installment		Amount
	Payment of first installment (September, 2017)		INR 4,38,492
	Payment of second installment after satisfactory submission of first progress report (January,2018)		INR 2,19,246
	Payment of second installment after submission of second progress report (February 2018)		INR 2,19,246
Expected outcomes	Education of 13 girl children of prison inmates supported		
Implementation schedule	Activity		Start & end time/ Schedule
	Phase 1: Payment of school and hostel fee, purchase of uniform, books and other essentials		1 September 2017- 31 March 2018
	Phase 2: Counseling and group sessions, birthday celebration, exposure visits, etc.		1 September 2017- 31 March 2018
	Phase 3: Meeting with teachers, parents, home visits, etc.		15 October 2017- 31 March 2018
	Phase 4: Monthly review meetings and quarterly progress reports		1 September 2017- 31 March 2018
	Phase 5: Submission of Final Report on programme impact		30 April 2018
Monitoring methodology	For monitoring project progress, IVF will institute a comprehensive framework which will comprise of regular visits, feedback from beneficiaries and all stakeholders, and review meetings. It will document the progress of each beneficiary and will source data around academic performance, attendance, etc. from the school authorities. Monitoring by IVF will take place on weekly basis and review of the project on monthly basis. The impact of the project will be shared in the form of case study of each girl child supported along with photographic evidence of programme activities at the end of the financial year. Goodyear will make payments in a phased manner to mitigate risk of non-utilization of funds by IVF based on achievement of project milestones		
Key performance indicators for monitoring progress	Indicator	Frequency of monitoring	Reporting responsibility
	Number of children supported for fee, education material, health	2 months	IVF
	Number of workshops held	3 months	IVF
	Number of exposure visits conducted	6 months	IVF
	Number of counseling provided	2 months	IVF
	Number of teacher / parent's meetings held, home visits carried out	3 months	IVF

Key performance indicators for assessing impact	Indicator	Timeline	Reporting responsibility
	Improvement in academic performance	April 30, 2018	IVF
	Improvement in attendance	April 30,2018	IVF
	Improvement in health	April 30, 2018	IVF
Reporting schedule	IVF will submit following: <ol style="list-style-type: none"> 1. Two progress reports on Monitoring KPIs (will be decided with IVF) (15 December 2017and 30 January 2018) 2. Phase-wise Fund Utilization Certificates on completion of milestone activities 3. Impact report capturing results from assessments at the end of reporting year 30 April 2018. 4. A Final Fund Utilization Certificate audited by a chartered accountant 		

3. International Association of Human Values (IAHV)- Goodyear: Sanitation Programme									
Thematic area	“eradicating hunger, poverty and malnutrition, promoting preventive health care and sanitation and making available safe drinking water”								
Detailed description	<p>The Indian Government has prioritized Swacch Bharat Abhiyan, Central governments ambitious project for elimination of open defecation in the country as one of its objectives. Maharashtra alone has around 57 lakh rural families whose homes do not have toilets. The water supply and sanitation ministry of the state stated that according to a survey in 2012, a total of 65,15,718 families did not have toilets of their own. However, in 2013-14, a total of 5,59,042 toilets were constructed and in the subsequent financial year, 2,64,716, were built, taking the total number of toilets constructed to 8,23,758. It has prepared a plan to construct 56,91,960 toilets for the remaining families till 2019.</p> <p>Given the focus of the government and need in the community, Goodyear in collaboration with International Association for Human Values (IAHV) started the project in 2015-16 with an aim to initiate a community led, sustainable transformation process in nine villages of five districts rural Maharashtra on sanitation with a long term objective of creating open defecation free (ODF) villages. In the first year, the project was undertaken by GIL along with GSATPL having a total cost of INR 2,43,92,190. GIL contributed INR 1,16,10,645 towards the programme for construction of 294 individual household toilets across 9 villages. Rest of the fund was provided by GSATPL.</p> <p>In 2016-17, the project was continued to be supported by GSATPL with the objective to build upon the work done in phase I to ensure that the beneficiaries continued to use the toilets that were built for them and mobilize them to take charge of development processes of their villages. As a result 6 villages have been declared ODF. Capacity building of villages has led to start of many developmental initiatives in villages including infrastructural improvements, chemical-free farming, e-learning, watershed management, improved hygiene and cleanliness, freedom from addictions.</p> <p>In 2017-18, the project will be scaled up to 3 new villages with an aim to construct toilets, provide safe drinking water to needy households in one village, undertake an awareness campaign to sensitize the villagers on sanitation and hygiene related issues and bring a shift in their attitude and behavior against open defecation. A small amount will be set aside for maintenance of toilets constructed in Phase 1 on need basis with the provision of using the unutilized amount on other project activities by the end of the project period. The project this year will be jointly undertaken by GIL and GSATPL.</p>								
Location	<ul style="list-style-type: none"> ▪ 3 villages of Ghanegaon, Aurangabad, Maharashtra to be covered under toilet construction ▪ 1 village of Dhanora, Morshi Tehsil, District Amravati to be covered under safe drinking water activity 								
Duration	Seven Months								
Total budget	<table border="1"> <thead> <tr> <th>Type of expenditure</th> <th>Amount</th> </tr> </thead> <tbody> <tr> <td>Direct project cost</td> <td>INR 1,09,00,000</td> </tr> <tr> <td>Indirect project cost (KPMG fee)</td> <td>INR 4,41,007</td> </tr> <tr> <td>Total expenditure</td> <td>INR 1,13,41,007</td> </tr> </tbody> </table>	Type of expenditure	Amount	Direct project cost	INR 1,09,00,000	Indirect project cost (KPMG fee)	INR 4,41,007	Total expenditure	INR 1,13,41,007
Type of expenditure	Amount								
Direct project cost	INR 1,09,00,000								
Indirect project cost (KPMG fee)	INR 4,41,007								
Total expenditure	INR 1,13,41,007								

Milestone Payment	Installment	Amount
	Payment of first installment (First week of September 2017)	INR 14,35,252
	Payment of second installment after satisfactory submission of utilization certificate of first installment (October, 2017)	INR 14,00,000
	Payment of third installment after satisfactory submission of first progress report (10 January 2018)	INR 73,71,654
	Payment of fourth installment after submission of second progress report (mid-February 2018)	INR 11,34,101
Expected outcomes	<ul style="list-style-type: none"> • Formation of 5 member sanitation committee comprising panchayat members and rural managers in each village • Sanitation needs of 3 villages shall be identified through comprehensive need assessment survey • Construction of 300 toilets in 3 villages benefitting approximately 1500 people • 9 awareness camps on safe sanitation practices sensitizing 300+ villagers • 30 local youth leaders trained for sustenance of the project • 9 village clean up camps to engage villagers in promotion of hygiene and clean surroundings • Access to safe drinking water in 210 households in Dhanora (project village of Phase 1 & 2) benefitting about 1000 people. 	
Implementation schedule	Activity	Start & end time/ Schedule
	Phase 1: <ul style="list-style-type: none"> • Project team formation and launch of project in the community • Mobilizing the community through awareness programs and other rapport building initiatives • Capacity building of the field team and other stakeholders through empowerment programs • Formation of Village Sanitation Committees. • Need assessment survey and establishing baseline metrics. • Identification of beneficiaries. Priority to be given to needy beneficiaries. • Collection of quotations to procure the raw material for toilet construction • Advance payment to suppliers/ vendors of raw materials for toilet construction 	1 September 2017 – 15 October 2017

	<p>Phase 2:</p> <ul style="list-style-type: none"> • Procurement of raw material for toilet construction and start of toilet construction. Balance payment to be paid towards construction materials (construction of toilets will continue in the third phase as well) • Conduct village clean-up campaign • Awareness building campaigns on safe sanitation for community people and children's through Nav Chetna Shivirs, Bal Chetna Shivirs, nukad natak, pad yatra etc. • Training local youth from the community through IAHV's Youth Leadership and Training Program (YLTP) and involving them in the project • Involving the community at all stages of the project including planning, implementation and sustainability. • Submission of Progress Report 1 	<p>15 October 2017- 15 February 2018</p>
	<p>Phase 3:</p> <ul style="list-style-type: none"> • Toilet Construction (Households)* • Handing over toilet to beneficiaries • Wherever applicable, providing monetary help for maintenance and repair of the toilets constructed in phase 1 • Providing safe drinking water facilities • Event promotion and outcome visibility • Submission of Progress Report 2 	<p>15 November 2017- 15 March 2018</p>
	<p>Phase 4:</p> <ul style="list-style-type: none"> • Door-to-door follow ups on usage of toilets • Submission of report on programme impact 	<p>15 March 2018 -31 March 2018</p> <p>30 April 2018</p>
<p>Monitoring methodology</p>	<p>For monitoring project progress, IAHV has its own IT platforms as per project requirements to track survey results on sanitation habits of the community and the change observed therein due to the intervention. Monitoring by IAHV will take place on monthly basis on the outcomes identified for the activities listed above. The data is compiled and shared in the annual progress report along with photographic evidence of</p>	

	programme activities at the end of the financial year. Goodyear will make payments in a phased manner to mitigate risk of non-utilization of funds by IAHV based on achievement of project milestones.		
Key performance indicators for monitoring progress	Indicator	Timeline	Reporting responsibility
	Number of beneficiaries reached out through sanitation awareness camps	15 December 2017	IAHV
	Number of villagers participating in village clean up drives	15 December 2017	IAHV
	Number of youth leaders empowered through Rural Management Training Programs for project sustenance	15 December 2017	IAHV
	Number of toilets constructed	15 March 2018	IAHV
	Number of table top water filters distributed	15 March 2018	IAHV
	Number of beneficiaries having access to improved sanitation facilities	15 March 2018	IAHV
Key performance indicators for assessing impact	Indicator	Timeline	Reporting responsibility
	Change in toilet usage and drinking water habits of villagers/reduction in open defecation in these villages	31 March 2018	IAHV
Reporting schedule	IAHV will submit following: 1. Two progress reports on Monitoring KPIs (15 December 2017 and 15 February 2018) 2. Phase-wise Fund Utilization Certificates on completion of milestone activities 3. Impact report capturing results from assessments at the end of reporting year (April 30, 2018) 4. A final Fund Utilization Certificate audited by a chartered accountant		

A5. Differences

If there is any inconsistency between GIL CSR Policy, Annexure – I and/or Indian regulation on CSR spending, the requirements of the Indian regulation shall prevail.
